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NAVIGATE FINANCIAL
Charting Your Journey

Subject: Your 1099 Form Will Arrive Soon

The IRS tax form mailing date for most non-retirement 1099 tax forms is **February 15**. As in past years, **National Financial Services LLC (NFS) has applied for and received a mailing extension from the IRS** that will permit the generation of some nonretirement 1099 tax documents after February 15, but no later than March 16.

NFS makes every effort to issue your tax form by the February 15 IRS mailing deadline, but not all issuers send final information to NFS in time to meet the standard IRS mailing date. The use of the extension helps ensure that the tax form you receive is accurate and should help minimize the need to send you a corrected form, reducing any potential amendments to your tax return. NFS will post and mail consolidated 1099 tax forms in four waves, outlined in the table below.

The dates below are online posting dates (i.e., the dates that your tax form will be made available online in Investor360°®). If you are enrolled in e-notification, you will receive an e-mail notifying you that your tax forms are ready to view. If you are not enrolled in e-notification, your form will be mailed within five business days of online posting.

Tax Forms	Description	Available Online (on or before)*
Retirement Tax Forms 5498	Year-end market values of contributions to retirement accounts	01/20/2018
Retirement Tax Forms 1099-R	Distributions from retirement accounts	01/20/2018
Consolidated 1099s: 1st Mailing	Non-retirement accounts with holdings whose income doesn’t require reclassification or additional information from issuers; generally, this includes accounts holding options, certain equities, and fixed income securities.	01/27/2018
Consolidated 1099s: 2nd Mailing	Equities and fixed income securities, closed-end funds, and non-Fidelity mutual funds where issuer provided final tax information after 1st mailing; 2nd mailing includes information-only 1099s issued for exempt accounts, including nonprototype and corporate accounts.	02/17/2018

1099: Info-Only (Corporate and Nonprototype Accounts)	Supplemental tax reporting information for corporate and eligible exempt accounts; details aren't reported to IRS.	02/17/2018
1099: Preliminary Tax Statements	Point-in-time snapshot of reporting activity for customers slated for a later mailing; includes symbols/CUSIPs for positions that lack final tax information as of February 17, 2018. This is online only, is not reported to the IRS, and should not be used for tax reporting purposes.	02/17/2018 (online only)
Consolidated 1099s: 3rd Mailing	Accounts holding unit investment and real estate investment trusts (UITs and REITs)	03/03/2018
Consolidated 1099s: 4th Mailing	Accounts holding UITs and REITs for which final tax information was delayed from issuer and mortgage-backed securities (MBS) for which final tax information has been received from issuer	03/10/2018

Please note: In instances when tax reporting for an account occurs during the extension period, NFS will provide an **online preliminary tax statement starting on February 17**. This is viewable only online, in Investor360°, and will provide a single view of current tax information to assist you in determining early tax liability.

If you have any questions, please don't hesitate to call the office at 360-628-8175.

Sincerely,